IAPT Rec'd PCT/PTO 19 MAY 2006

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMNT OF COMMERCE

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## TRANSMITTAL LETTER TO THE UNITED STATES ATTORNEY'S DOCKET NUMBER 01012-1046 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) Not yet assigned **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED 11/19/2003 PCT/EP2004/012809 11/11/2004 TITLE OF INVENTION: SPECTRUM ANALYZER HAVING A RESOLUTION FILTER THAT CAN BE ADJUSTED VIA PHASE-VARIATION PARAMETER APPLICANT(S) FOR DO/EO/US Rohde & Schwarz GmbH & Co. KG, Kurt Schmidt Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. X This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. X The US has been elected (Article 31). 5. X A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) a. X is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. X An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). a. X is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. |X| are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. 8. X An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. X A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. 15. X A substitute specification. A power of attorney and/or change of address letter. 16. I A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 17. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491–1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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U.S. APPLICATI	ON NO. Af prove		INTERNATIONAL AP PCT/EP2004	PLICA	TION NO.	ATTORNEY'S DO	
	amination Report						
The following fees have been submitted						CALCULATIONS	PTO USE ONLY
21. X Basic national fee (37 CRF 1.492(a))						\$ 300	
22. X Examination fee (37 CFR 1.492(c))  If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of the PCT PCT Article 33(1)-(4) \$0  All other situations						\$200	
23. X Search fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of the PCT Article 33(1)-(4). \$0  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB. \$400  All other situations \$500						\$ 400	
TOTAL OF 21, 22 and 23 =						\$ 900	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing IN COMPLIANCE WITH 37 cfr 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  Total Sheets  Extra sheets  Number of each additional 50 or fraction thereof (round up to a whole number)							
28 -100 =	0 /50 =	<del></del>	0		× \$250	\$ 0	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of the commencement of the national stage (37 CFR 1.492(h)).						\$130	
CLAIMS N		MBER FILED	NUMBER EXTRA		RATE	\$	
Total claim	ns	9 - 20 =	0	Х	\$50	\$ 0	
Independent claims		.2-3=	0	Х	\$200	\$ 0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)						\$ C \$1,030	<del>                                     </del>
TOTAL OF ABOVE CALCULATIONS =  Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.						\$ 0.00	
SUBTOTAL =						\$1,030	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).						\$ 0	
TOTAL NATIONAL FEE =						\$1,030	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property						\$ 0	
appropriate cover		\$1,030					
					ENCLOSED =	Amount to be refunded:	\$
						Amount to be charged:	\$

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d. X Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.								
SEND ALL CORRESPONDENCE TO:								
CENTE / CE CONTINUE / CENTE /	SIGNATURE: DITE:							
	Phouphanomketh Ditthavong NAME							
	44658							
	REGISTRATION NUMBER							
Ditthavong & Mori, P.C. 10507 Braddock Road, Suite A Fairfax, VA 22032								
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